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\*Admitted only in Maryland  
\*Admitted only in Virginia  
\*Practice Limited to  
Federal Agencies

June 16, 2004

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Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**Art Unit 1623**

Re: U.S. Utility Patent Application  
Application No. 10/758,267; Filed: January 16, 2004  
For: **Methods for the Preparation of Polyol Esters that are Light in Color**  
Inventor: George B. Poppe  
Our Ref: 1533.6910000/PAJ/BLS

Sir:

Transmitted herewith for appropriate action are the following documents:

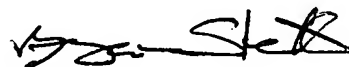
1. Information Disclosure Statement;
2. Listing of the cited documents on Form PTO-1449 (2 sheets);
3. A copy of the five (5) non-patent documents cited on Form PTO-1449; and
4. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Bryan L. Skelton  
Agent for Applicant  
Registration No. 50,893

PAJ/BLS/cjs  
Enclosures

SKGF\_267705.1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

George B. Poppe

Appl. No.: 10/758,267

Filed: January 16, 2004

For: **Methods for the Preparation of  
Polyol Esters that are Light in  
Color**

Confirmation No.: 5132

Art Unit: 1623

Examiner: *To be assigned*

Atty. Docket: 1533.6910000/PAJ/BLS

**Information Disclosure Statement**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§1.56, 1.97 and 1.98. A copy of each document is also provided.

A copy of Document **AA1** is not provided in accordance with the U.S. Patent and Trademark Office OG notice of August 5, 2003, which states "[t]he Office hereby waives the requirement under 37 C.F.R. 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003."

Document **AS1**, Celades, R., and Paquot, C., "Preparation des Esters de Polyoxyéthylèneglycols par Glycolyse," in Chemistry, Physics and Application of Surface Active Substances, pp.249-255 (1967) is in the French language. The relevance of document **AS1** can be ascertained by reference to the English language summary found on page 249.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicant has listed publication dates on the attached PTO-1449 based on

information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

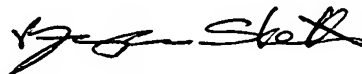
This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

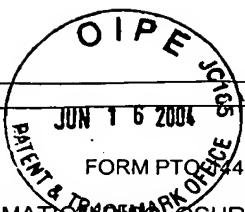
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Date: JUNE 16, 2004

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FORM PTO 449  
INFORMATION DISCLOSURE STATEMENTATTY. DOCKET NO.  
1533.6910000/PAJ/BLSAPPLICATION NO.  
10/758,267FIRST NAMED INVENTOR  
Poppe, G.B.FILING DATE  
January 16, 2004ART UNIT  
1623

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA1	3,669,848	06/13/1972	Seiden			12/19/1969
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

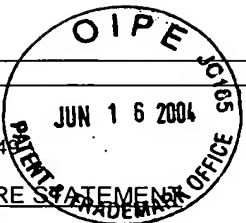
## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	1	"Color: Lovibond Method Using Color Glasses Calibrated in Accordance with the Lovibond Tintometer Color Scale, Sampling and Analysis of Commercial Fats and Oils, American Oil Chemists' Society Official Method Cc 13e-92, Revised 2002," in <i>Official Methods and Recommended Practices of the AOCS</i> , 5th ed., Firestone, D., et al., eds., American Oil Chemists' Society, Champaign, IL, pp. 1-2 (1998)
	AS	1	Celades, R., and Paquot, C., "Preparation des Esters de Polyoxyéthylèneglycols par Glycolyse," in <i>Chemistry, Physics and Application of Surface Active Substances</i> , Proceedings of the IVth Int'l Congress on Surface Active Substances, Vol. I., Asinger, F., ed., Gordon and Breach Science Publishers, New York, NY, pp. 249-255 (1967)
	AT	1	Gupta, M., "Manufacturing Processes for Emulsifiers" in <i>Bailey's Industrial Oil and Fat Products</i> , Ch. 11, Vol. 4, 5th ed., Hui, Y.H., ed., John Wiley & Sons, Inc., New York, NY, pp. 569-601 (1996)

EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Page 2 of 2							
<div style="text-align: center;">  </div> <p>FORM PTO-144</p> <p>INFORMATION DISCLOSURE STATEMENT</p>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">ATTY. DOCKET NO. 1533.6910000/PAJ/BLS</td> <td style="width: 50%;">APPLICATION NO. 10/758,267</td> </tr> <tr> <td colspan="2">FIRST NAMED INVENTOR Poppe, G.B.</td> </tr> <tr> <td>FILING DATE January 16, 2004</td> <td>ART UNIT 1623</td> </tr> </table>	ATTY. DOCKET NO. 1533.6910000/PAJ/BLS	APPLICATION NO. 10/758,267	FIRST NAMED INVENTOR Poppe, G.B.		FILING DATE January 16, 2004	ART UNIT 1623
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FIRST NAMED INVENTOR Poppe, G.B.							
FILING DATE January 16, 2004	ART UNIT 1623						

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
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	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AR	2	Jiratumnukul, N., and Van De Mark, M.R., "Preparation of Glycol Esters of Soybean Oil Fatty Acids and Their Potential as Coalescent Aids in Paint Formulations," <i>JAOCS</i> 77:691-697, AOCS Press (2000)
	AS	2	Sonntag, N.O.V., "Fat Splitting, Esterification, and Interesterification" in <i>Bailey's Industrial Oil and Fat Products</i> , Vol. 2, 4th ed., Swern, D., ed., John Wiley & Sons, Inc., New York, NY, pp. 97-173 (1982)
	AT		

EXAMINER	DATE CONSIDERED
<p><b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.</p>	